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6 **UNITED STATES DISTRICT COURT**  
7 **EASTERN DISTRICT OF CALIFORNIA**

8 TRACY EUGENE JONES, ) Case No. 1:21-cv-01207-SAB (PC)  
9 Plaintiff, )  
10 v. ) ORDER SETTING REMOTE SETTLEMENT  
11 HENRY C. AGUWA, et al., ) CONFERENCE AND STAYING CASE FOR  
12 Defendants. ) 90 DAYS  
13 ) Date: **March 1, 2022**  
14 ) Time: **9:30 a.m.**  
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15 Plaintiff Tracy Eugene Jones is proceeding *pro se* and *in forma pauperis* in this civil rights  
16 action pursuant to 42 U.S.C. § 1983.

17 The Court finds this case suitable for referral to post-screening ADR (Alternative Dispute  
18 Resolution), which is an effort to resolve such cases more expeditiously and less expensively. No  
19 claims, defenses or objections shall be waived by the parties' participation. In appropriate cases,  
20 defense counsel from the California State Attorney General's Office has agreed to participate in these  
21 early settlements.

22 As set forth in the screening order, Plaintiff has stated a cognizable civil rights claim. But,  
23 stating a cognizable claim does not mean Plaintiff will prevail at trial. Thus, the Court stays this  
24 action for a period of 90 days to allow the parties to investigate Plaintiff's claims, meet and confer,  
25 and then participate in a settlement conference.

26 Therefore, this case will be referred to Magistrate Judge Barbara A. McAuliffe to conduct a  
27 settlement conference on **March 1, 2022 at 9:30 a.m.** In light of the coronavirus (COVID-19)  
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1 outbreak and evolving coronavirus protocols, the Court finds that the parties shall appear **remotely** via  
2 the Zoom videoconferencing application.

3 Counsel for Defendants shall contact Courtroom Deputy, Esther Valdez, at (559) 499-5788 or  
4 [evaldez@caed.uscourts.gov](mailto:evaldez@caed.uscourts.gov) for the video and dial-in information, including any necessary passcodes,  
5 for all parties. Counsel for Defendants is also required to arrange for Plaintiff's participation by  
6 contacting the Litigation Coordinator at the institution where Plaintiff is housed and providing the  
7 necessary Zoom contact information.

8 In issuing this order, there is a presumption that this case will proceed to a settlement  
9 conference.<sup>1</sup> However, if after investigating Plaintiff's claims and speaking with Plaintiff, and after  
10 conferring with others, defense counsel in good faith finds that a settlement conference would be a  
11 waste of resources, defense counsel may move to opt out of this early settlement conference. A  
12 written notice to opt out must be filed within 30 days of the date of the issuance of this order.

13 The parties shall each submit to Judge McAuliffe a confidential settlement conference  
14 statement, as described below, to arrive at least seven days prior (one week) to the conference.

15 The Court puts the parties on notice that if Plaintiff has any outstanding criminal restitution  
16 obligation, fines and/or penalties, these settlement negotiations shall not be geared towards what the  
17 restitution obligation is, but what the value the of the case itself is to each side, irrespective of any  
18 outstanding restitution obligation.

19 In accordance with the above, IT IS HEREBY ORDERED that:

- 20 1. This action is STAYED for 90 days to allow the parties an opportunity to settle their  
21 dispute before the discovery process begins. Except as provided herein or by  
22 subsequent court order, no other pleadings or other documents may be filed in this case  
23 during the stay of this action. The parties shall not engage in formal discovery, but may  
24 engage in informal discovery to prepare for the settlement conference.
- 25 2. This case is set for a remote settlement conference before Magistrate Judge Barbara A.  
26 McAuliffe on **March 1, 2022, at 9:30 a.m.**

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28 <sup>1</sup> If the case does not settle, the Court will then issue the Discovery and Scheduling Order.

- 1           3.       A representative with full and unlimited authority to negotiate and enter into a binding  
2               settlement shall attend in person.
- 3           4.       Those in attendance must be prepared to discuss the claims, defenses and damages.  
4               The failure of any counsel, party or authorized person subject to this order to appear in  
5               person may result in the cancellation of the conference and the imposition of sanctions.  
6               The manner and timing of Plaintiff's transportation to and from the conference is  
7               within the discretion of CDCR.
- 8           5.       Defendants shall provide a confidential settlement statement to the following email  
9               address: [bamorders@caed.uscourts.gov](mailto:bamorders@caed.uscourts.gov). Plaintiff shall mail his confidential  
10              settlement statement to U.S. District Court, 2500 Tulare Street, Fresno, California,  
11              93721, "**Attention: Magistrate Judge Barbara A. McAuliffe.**" The envelope shall  
12              be marked "Confidential Settlement Statement". Settlement statements shall arrive no  
13              later than **February 22, 2022**. Parties shall also file a Notice of Submission of  
14              Confidential Settlement Statement (See Local Rule 270(d)). Settlement statements  
15              **should not be filed** with the Clerk of the Court **nor served on any other party**.  
16              Settlement statements shall be clearly marked "confidential" with the date and time of  
17              the settlement conference indicated prominently thereon.
- 18          6.       The confidential settlement statement shall be **no longer than five pages** in length,  
19              typed or neatly printed, and include the following:  
20              a.       A brief statement of the facts of the case.  
21              b.       A brief statement of the claims and defenses, i.e., statutory or other grounds upon  
22                      which the claims are founded; a forthright evaluation of the parties' likelihood of  
23                      prevailing on the claims and defenses; and a description of the major issues in  
24                      dispute.  
25              c.       An estimate of the cost and time to be expended for further discovery, pretrial, and  
26                      trial.  
27              d.       The party's position on settlement, including present demands and offers and a  
28                      history of past settlement discussions, offers, and demands.

- e. A brief statement of each party's expectations and goals for the settlement conference, including how much a party is willing to accept and/or willing to pay.
  - f. If the parties intend to discuss the joint settlement of any other actions or claims not in this suit, give a brief description of each action or claim as set forth above, including case number(s), if applicable.
7. If a settlement is reached at any point during the stay of this action, the parties shall file a Notice of Settlement in accordance with Local Rule 160.
  8. If the defense counsel wishes to "opt- out" of this settlement for the reasons stated above, counsel must do so within **thirty (30) days** of this order by filing a "Notice of Opt-Out and Request to Vacate Settlement Conference."
  9. If defense counsel does not wish to opt-out, defense counsel shall contact the Litigation Coordinator at the institution where Plaintiff is housed to determine whether the institution can accommodate a Zoom video appearance by Plaintiff at this date and time. Within thirty (30) days of this order, defense counsel shall file a notice advising the Court whether the institution can accommodate Plaintiff's appearance.
  10. The parties remain obligated to keep the court informed of their current address at all times during the stay and while the action is pending. Any change of address must be reported promptly to the court in a separate document captioned for this case and entitled "Notice of Change of Address." See Local Rule 182(f).
  11. A failure to follow these procedures may result in the imposition of sanctions by the court.

IT IS SO ORDERED.

Dated: **December 6, 2021**



UNITED STATES MAGISTRATE JUDGE

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